

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
(Rev. 2-32)

ATTY. DOCKET NO.
VIA-3

SERIAL NO.
09/700,167

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Gregory H. Lambrecht et al.

FLING DATE
11/09/01

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROPRIATE
D	5 9 4 1 8 9 6	08/99	Kerr	600	200	
	5 9 4 7 9 9 5	09/99	Samuels	606	200	
	5 9 5 4 7 4 1	09/99	Fox	606	198	
	5 9 5 4 7 4 5	09/99	Gertler et al.	606	200	
	5 9 5 7 9 4 9	09/99	Leonhardt et al.	606	194	
	5 9 7 6 1 7 2	11/99	Homsma et al.	606	200	
	5 9 8 0 5 5 5	11/99	Barbut et al.	606	200	
	5 9 8 9 2 8 1	11/99	Barbut et al.	606	200	
	3 6 7 1 9 7 9	6/72	Moulopoulos	3	1	
D	3 9 9 6 9 3 8	12/76	Clark, III	128	348	
	4 0 5 6 8 5 4	11/77	Boretos et al.	3	1.5	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
D	EP 0 4 0 8 2 4 5	01/91	Europe				
	WO 9 9 3 3 4 1 4	07/99	PCT				
	WO 9 9 1 5 2 2 3	04/99	PCT				
D	WO 0 0 4 7 1 3 9	08/00	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

D	Antonatos PG, et al., Effect of the positioning of a balloon valve in the aorta on coronary flow during aortic regurgitation, J Thorac Cardiovasc Surg; 1984 Jul;88(1) 128-33

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<input checked="" type="checkbox"/>	4 4 2 5 9 0 8	01/84	Simon	128	1 R	
<input checked="" type="checkbox"/>	4 5 2 7 5 4 9	07/85	Gabbay	128	1 D	
<input checked="" type="checkbox"/>	5 1 0 8 4 1 9	04/92	Reger et al.	606	200	
<input checked="" type="checkbox"/>	5 1 6 0 3 4 2	11/92	Reger et al.	606	200	
<input checked="" type="checkbox"/>	5 1 6 3 9 5 3	11/92	Vince	623	2	
<input checked="" type="checkbox"/>	5 2 5 4 0 9 7	10/93	Schock et al.	604	167	
<input checked="" type="checkbox"/>	5 3 0 0 0 8 6	04/94	Gory et al.	606	200	
<input checked="" type="checkbox"/>	5 3 2 4 3 0 4	06/94	Rasmussen	606	200	
<input checked="" type="checkbox"/>	5 3 2 9 9 4 2	07/94	Gunther et al.	128	898	
<input checked="" type="checkbox"/>	5 3 7 0 6 8 5	12/94	Stevens	623	2	
<input checked="" type="checkbox"/>	5 3 9 7 3 5 1	03/95	Pavcnik et al.	623	11	

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<input checked="" type="checkbox"/>	Antonatos PG, et al., Intraventricular pumping at the mitral ring in mitral regurgitation, Life Support Syst 1985:3 Suppl 1:167-71

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FLING DATE IF APPROPRIATE
91	5 4 1 1 5 5 2	05/95	Andersen et al.	623	2	
	5 5 0 9 4 2 8	04/96	Dunlop	128	898	
	5 5 4 5 2 1 4	08/96	Stevens	623	2	
	5 5 4 9 6 2 6	08/96	Miller et al.	606	200	
	5 5 5 4 1 8 5	09/96	Block et al.	632	2	
	5 5 7 1 2 1 5	11/96	Sterman et al.	523	66	
	5 5 8 4 8 7 9	12/96	Reimold et al.	623	2	
	5 6 0 7 4 6 5	03/97	Camilli	623	1	
	5 6 8 2 9 0 6	11/97	Sterman et al.	128	898	
	5 7 0 2 3 6 8	12/97	Stevens et al.	604	171	
	5 7 1 3 9 5 1	02/98	Garrison et al.	623	2	



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DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

91	Antonatos PG, et al., The use of a small intra-aortic balloon to increase coronary flow, Life Support Syst 1983 Jul-Sep; 1(3):151-64

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
(1)	5 7 9 7 9 6 0	08/98	Stevens et al.	606	213	
	5 8 0 0 4 5 7	09/98	Gelbfish	606	200	
	5 8 0 0 5 2 5	09/98	Bachinski et al.	623	1	
	5 8 1 4 0 9 7	09/98	Sterman et al.	623	2	
	5 8 2 7 3 2 4	10/98	Cassell et al.	606	200	
	5 8 4 0 0 8 1	11/98	Andersen et al.	623	2	
	5 8 4 8 9 6 4	12/98	Samuels	600	200	
	5 8 5 5 5 9 7	01/99	Jayaraman	623	1	
	5 8 5 5 6 0 1	01/99	Bessler et al.	623	2	
	5 8 7 6 3 6 7	03/99	Kaganov et al.	604	8	
(1)	5 7 1 8 7 2 5	02/98	Sterman et al.	623	2	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

(1)	Frederiksen J, et al., Use of a counterpulsation balloon as a substitute for the pulmonic valve: a new application, Ann Thorac Surg 1986 Jun;41(6):616-21

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(1)	5 8 9 3 8 6 9	04/99	Barnhardt et al.	606	200	
	5 9 1 0 1 5 4	06/99	Tsugita et al.	606	200	
	5 9 1 1 7 3 4	06/99	Tsugita et al.	606	200	
	5 9 2 5 0 6 3	07/99	Khosravi	606	200	
	5 9 2 8 2 6 1	07/99	Ruiz	606	200	
	5 9 3 5 1 3 9	08/99	Bates	606	159	
	5 5 4 9 6 6 5	08/96	Vesely et al.	623	2	
	5 7 2 8 1 5 3	03/98	Menkis et al.	623	2	
(2)	4 9 0 2 2 7 2	02/90	Milder et al.	600	18	

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		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

(3)	Matsubara T, et al., Balloon catheter with check valves for experimental relief of acute aortic regurgitation, Am Heart J 1992 Oct;124(4):1002-8

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